



Principal Pnb Asset Management Company Pvt. Ltd.

Exchange Plaza, 'B' Wing, 3rd Floor, NSE Building, Bandra Kurla Complex, Bandra (East), Mumbai-400 051.
Tel: 91-22- 2202 1111. Fax: 91-22-2204 4466.

COMMON TRANSACTION FORM

Sole/First Holder : _____ PAN No.* _____ (Refer overleaf)
 Second Holder : _____ PAN No.* _____ (Refer overleaf)
 Third Holder : _____ PAN No.* _____ (Refer overleaf)
 Common A/c No. : _____ Date : _____

Date : _____
 Broker Code : _ ARN - 2233 _____
 Broker Name : _____

Scheme _____ Plan _____ Option® _____

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|--|--|
| 1. Additional Purchase I/We want to make additional purchases (Please Refer Instruction No. 3 overleaf) Cheque/DD No _____ for Rs _____ dated _____ drawn on _____ DD Charges Rs _____ Gross Amount# Rs (in figures) _____ Gross Amount# Rs (in words) _____ <small># Cheque/DD + DD Charges</small> | 2. Email / SMS Services <input type="checkbox"/> Receive following communication over E-mail: <input type="radio"/> Account Statement <input type="radio"/> Newsletter <input type="radio"/> Annual Report <input type="radio"/> Other Statutory Information E-mail : _____ <input type="checkbox"/> Receive SMS service through mobile Cell No. : _____ |
| 3. Redemption I/We want to redeem _____ units or Rs (in figures) _____ Rs (in words) _____ <small>(Please Refer Instruction No. 2 overleaf)</small> | |
| 4. Switch I/We would like to switch _____ units or Rs (in figures) _____ Rs (in words) _____ From : Scheme _____ Plan _____ Option _____ To : Scheme _____ Plan _____ Option _____ <small>(Please Refer Instruction No. 4 overleaf)</small> | |
| 5. Change of Address/Telephone Number/Fax Number/E-mail Address (Please Refer Instruction No. 10 overleaf) New Details: New Address : _____ City _____ Pin _____ State _____ Tel No. : _____ Off.: _____ Resi.: _____ Cell: _____ Fax : _____ E-mail : _____ Change of Status (if any) : _____ <small>(Please Refer Instruction No. 9 overleaf)</small> | |
| 6. Change of Bank Mandate Old Bank Account No. : _____ New Details: Bank Name : _____ Branch Name/Address : _____ Pin _____ Branch Code _____ Bank Account No. : <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> MICR Code : <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> Account Type (✓) : <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR <input type="checkbox"/> NRSR | |
| <input type="checkbox"/> Direct Credit <small>(Please enclose cancelled cheque or photo copy of the cheque) (Please Refer Instruction No. 5 overleaf)</small> <input type="checkbox"/> Cheque Payout <input type="radio"/> Only for this transaction <input type="radio"/> For all Future Transactions <small>(If not indicated, assumed to be for all future transactions)</small> | |

For existing unitholders only

® Applicable for Dividend Plans only. Information & Instructions for all the schemes of Principal Mutual Funds is given overleaf.

| | | | |
|---|---|--|--|
| Signature of Sole/ First Unitholder / POA Holder / Guardian | Signature of Second Unitholder / POA Holder | Signature of Third Unitholder / POA Holder | |
|---|---|--|--|

(In case of Joint Holders, all Unit Holders must sign)

SCHEME - RECKONER

| Scheme | Plan | Option | Initial Investment (Rs) | Minimum Additional Investment (Rs) | Purchase Cut-off | Switch/Redemption Cut-off | Scheme | Plan | Option | Initial Investment (Rs) | Minimum Additional Investment (Rs) | Purchase Cut-off | Switch/Redemption Cut-off |
|---|----------------------------|-------------------|---|---|------------------|---------------------------|--|--------------------------------|---|--|--|------------------|---------------------------|
| Principal Global Opportunities Fund | - | Growth & Dividend | Rs. 10,000 and any amount thereafter under each option | Rs. 5,000 and any amount thereafter under each option | 12.30 pm | 12.30 pm | Principal Income Fund | Regular Plan | Growth & Dividend | Rs. 5,000 and any amount thereafter under each option | Rs. 500 and any amount thereafter under each option | 3.00 pm | 3.00 pm |
| Principal Cash Management Fund - Liquid Option and Principal Liquid Plus Fund | Regular Plan | Growth & Dividend | Rs. 10,000 and any amount thereafter under each option | Rs. 1,000 and any amount thereafter under each option | 12.00 pm | 3.00 pm | | Institutional Plan | Growth & Dividend | Rs. 1 lakh and any amount thereafter under each option | Rs. 1 lakh and any amount thereafter under each option | 3.00 pm | 3.00 pm |
| Principal Money Manager Fund | Institutional Plan | Growth & Dividend | Rs. 1 crore and any amount thereafter under the plan, with a minimum of Rs. 1 lakh in each option. | Rs. 1 lakh and any amount thereafter under each plan | 12.00 pm | 3.00 pm | Principal Income Fund - Short Term Plan - | Regular Plan | Growth & Dividend | Rs. 5,000 and any amount thereafter under each option | Rs. 500 and any amount thereafter under each option | 3.00 pm | 3.00 pm |
| | Institutional Premium Plan | Growth & Dividend | Rs. 10 crore and any amount thereafter under the plan, with a minimum of Rs. 1 lakh in each option. | Rs. 1 lakh and any amount thereafter under each plan | 12.00 pm | 3.00 pm | | Institutional Plan | Growth & Dividend | Rs. 10 lakh and minimum Rs. 1 lakh thereafter under each option. | Rs. 1 lakh and any amount thereafter under each option | 3.00 pm | 3.00 pm |
| Principal Floating Rate Fund - Short Maturity Plan - | Regular Plan | Growth & Dividend | Rs. 5,000 and any amount thereafter under each option | Rs. 500 and any amount thereafter under each plan | 12.00 pm | 3.00 pm | Principal Monthly Income Plan and Principal Monthly Income Plan - MIP Plus | | Growth - Accumulation Dividend & Growth - AEP | Rs. 5,000 and any amount thereafter under each option | Rs. 500 and any amount thereafter under each option | 3.00 pm | 3.00 pm |
| | Institutional Plan | Growth & Dividend | Rs. 1 crore and any amount thereafter under the plan, with a minimum of Rs. 1 lakh in each option. | Rs. 1 lakh and any amount thereafter under each plan | 12.00 pm | 3.00 pm | | | | Rs. 10,000 and any amount thereafter under each option | Rs. 10,000 and any amount thereafter under each option | 3.00 pm | 3.00 pm |
| Principal Floating Rate Fund - Flexible Maturity Plan - | Regular Plan | Growth & Dividend | Rs. 5,000 and any amount thereafter under each option | Rs. 500 and any amount thereafter under each plan | 3.00 pm | 3.00 pm | Principal Government Securities Fund | Investment Plan & Savings Plan | Growth & Dividend | Rs. 5,000 and any amount thereafter under each option | Rs. 500 and any amount thereafter under each option | 3.00 pm | 3.00 pm |
| | Institutional Plan | Growth & Dividend | Rs. 1 crore and any amount thereafter under the plan, with a minimum of Rs. 1 lakh in each option. | Rs. 1 lakh and any amount thereafter under each plan | 3.00 pm | 3.00 pm | Principal Tax Savings Fund and Principal Personal Tax Saver Fund | - | - | Rs. 500 and any amount thereafter under each option | Rs. 500 and any amount thereafter under each option | 3.00 pm | 3.00 pm |
| Initial Investment Amount Rs. 5,000 and Additional Investment Amount Rs. 500 in all below Schemes and Cut-off time being 3 pm. Principal Growth Fund, Principal Dividend Yield Fund, Principal Large Cap Fund, Principal Junior Cap Fund, Principal Infrastructure & Services Industries Fund, Principal Index Fund, Principal Resurgent India Equity Fund, Principal Child Benefit Fund and Principal Balanced Fund AEP = Auto Earnings Payout | | | | | | | | | | | | | |

The above is subject to change/modification at any time purely at the discretion of Principal Mutual Fund without assigning any reason or prior notice

Instructions and Information

- Before investing, please refer the Offer Document(s) of the respective scheme(s)
- For redeeming units, in case the balance in your account does not cover the amount of the request, the account shall be closed and the entire such (lesser) amount shall be sent to you.
- The additional purchase amount should be \geq Minimum Investment / Minimum Additional Investment amount
- In switch, the present value of investment in the scheme from which the switch is requested should be \geq to the minimum investment / minimum additional investment requirement of the scheme to which the investment is proposed to be switch in.
- Direct Credit Facility : We offer a Direct Credit facility with the following banks** : NEW**

| Sl No. | Bank Name | Investor Bank A/c. No. Req. Digits |
|--------|-------------------------|------------------------------------|
| 1 | CITI BANK | 10 Digits |
| 2 | ICICI BANK LTD | 12 Digits |
| 3 | IDBI BANK LTD | 13 or 14 or 15 or 16 Digits |
| 4 | STANDARD CHARTERED BANK | 11 Digits |
| 5 | HDFC BANK LTD | 14 Digits |
| 6 | PUNJAB NATIONAL BANK | 16 Digits |
| 7 | AXIS BANK LTD | 15 Digits |
| 8 | HSBC BANK | 12 Digits |
| 9 | DEUTSCHE BANK | 10 Digits |
| 10 | BNP PARIBAS | 11 Digits |
| 11 | KOTAK MAHINDRA BANK | 14 Digits |
| 12 | INDUSIND BANK | 13 Digits |

- If you have selected the Dividend Plan, please mention the Dividend Option also.
- Please use our web base facility to download forms like:-**
• Nomination Form • Internet Services Access Application Form (PIN) • Trigger Form • Asset Allocation Form • Consolidation Form • Common Transaction Form • SIP/SWP/STP Form • ECS (SIP Auto Debit Form) • Agent Empanelment Form
- All cheques and drafts should be drawn in favour of "Principal Mutual Fund" or "Name of the Scheme" and crossed "A/c Payee only".
- If the broker name and code is left blank, the application shall be treated as direct.
- As per SEBI Guidelines, it is mandatory for the investors to furnish their Bank Account and PAN details (along with attested copy of PAN Card).
- Please attach the KYC acknowledgement letter for all applicants (including POA holders and Guardian in case of Minor) for subscriptions of Rs. 50,000/- and above.
- In case of investors who have furnished their KYC acknowledgement letter and may thereafter wish to furnish a request for any change in address, should send such a request along with proof for new address to any Point of Service Centre of CDSL Ventures Limited for doing the needful.
- For any customer queries, please contact between 9.00 am - 7.00 pm on Monday-Friday and Saturday 9.30 am - 1.30 pm.**

* In case the investments are Rs. 50,000 and above, it is mandatory to attach a copy of Know Your Customer (KYC) Acknowledgement letter issued by CDSL Ventures Limited alongwith the form. Effective 2nd July, 2007 it is mandatory for all existing and prospective investors (including joint holders, guardians and NRIs) to submit PAN card copy.

** Only for Non-Liquid Redemptions.



1800 22 5600

(Toll Free from BSNL & MTNL Lines)
for Non-Toll Free please dial 022-2202 1111



www.principalindia.com



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