

# COMMON APPLICATION FORM

**MIRAE ASSET**  
Mutual Fund

Appl. No. MA

Broker Name / ARN <b>ARN-2233</b>	Sub Broker Code / ARN	ISC Date Time Stamp Reference No.
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**1. EXISTING UNIT HOLDER INFORMATION [Please fill in your Folio Number and proceed to Section 8 - Investment Details]**

Folio No.  The details in our records under the folio number mentioned alongside will apply for this application.

**2. PAN AND KYC COMPLIANCE STATUS DETAILS - Mandatory [Refer Instruction Nos. 2(d) & 2(e)]**

	PAN # (refer instruction)	KYC Compliance Status** (if yes, attach proof)	
First / Sole Applicant*	<input type="text"/>	Yes <input type="radio"/>	No <input type="radio"/>
Second Applicant	<input type="text"/>	Yes <input type="radio"/>	No <input type="radio"/>
Third Applicant	<input type="text"/>	Yes <input type="radio"/>	No <input type="radio"/>

If the first/sole applicant is a Minor, then please provide details of Natural / Legal Guardian. # Please attach PAN proof. \*\*Refer instruction 2(e).

**3. APPLICANT(S) INFORMATION [Refer Instruction 2]**

**NAME OF FIRST / SOLE APPLICANT / CORPORATE INVESTOR / MINOR** **DATE OF BIRTH**  
(Mandatory in case of Minor & 1<sup>st</sup> Applicant)  /  /

Mr. | Ms. | M/s.

Contact Name  (In case of Corporate Investor) Designation

**NAME OF SECOND APPLICANT**  
Mr. | Ms. | M/s.

**NAME OF THIRD APPLICANT**  
Mr. | Ms. | M/s.

**NAME OF THE GUARDIAN** (In case First Applicant is a Minor) Relationship with Minor Please (✓)  
Mr. | Ms. | M/s.  Mother  Father  Legal Guardian

<b>Mode of Holding</b>	Single <input type="radio"/>	Joint <input type="radio"/>	Anyone or Survivor (Default option is 'Anyone or Survivor')				
<b>Occupation</b>	Business <input type="radio"/>	Service <input type="radio"/>	Professional <input type="radio"/>	Retired <input type="radio"/>	Student <input type="radio"/>	Housewife <input type="radio"/>	Others <input type="radio"/> <small>Please specify</small>
<b>Status</b>	Resident Individual <input type="radio"/>	NRI - NRO <input type="radio"/>	Trust <input type="radio"/>	HUF <input type="radio"/>	Bank / FIs <input type="radio"/>	NRI - NRE <input type="radio"/>	
	Minor through Guardian <input type="radio"/>	Company <input type="radio"/>	FIs <input type="radio"/>	Partnership Firm <input type="radio"/>	AOP / BOI <input type="radio"/>	Society <input type="radio"/>	

**4. MAILING ADDRESS [Please provide Full Address. P. O. Box No. may not be sufficient. Overseas Investors will have to provide Indian Address]**

City  State  Pin Code

Tel. Off.  Resi.  Mobile

E-Mail

**Overseas Correspondence Address (Mandatory for NRI / FI Applicant)**

City  Country  ZIP

**5. COMMUNICATION (Please ✓)**

I/ We wish to receive Account Statements / Annual Reports / Quarterly Statements / Newsletter / Updates or any other Statutory Information via E- mail / SMS alerts in lieu of Physical Documents.

**6. TRANACT ON-LINE (Refer instruction No. 8)**

I/ We wish to transact On-line through Mirae Asset e-Fund Market Facility

**7. BANK ACCOUNT DETAILS - Mandatory [Refer Instruction Nos. 3, 4 & 5]**

Name of the Bank

Account No.  **A/c. Type** SAVINGS  NRE  CURRENT  NRO  FCNR   
Please (✓)

Branch Address

Bank Branch City  State  Pin Code

MICR Code  Please attach a cancelled cheque OR a clear photo copy of a cheque **IFSC Code**  (Mandatory for Credit via NEFT/RTGS)

Direct Credit facility is available as per instruction No. 4. However, if you wish to receive a cheque payout, please tick here (✓).   
Electronic Clearing Services (ECS) facility is available for receiving dividends. If you wish to avail of this facility, please tick here (✓).

Received an application from Mr. / Ms. / Mrs.   
as Normal Investment  or through SIP  or for SWP  or through STP  as per details below

Appl. No. MA

ACKNOWLEDGEMENT SLIP  
(to be filled in by the Investor)

Scheme Name	Plan	Option	Sub-Option	Payment Details (1st Cheque / DD in case of SIP)
		<input type="checkbox"/> Growth <input type="checkbox"/> Dividend <input type="checkbox"/> Bonus (✓ only if applicable)	<input type="checkbox"/> Reinvestment <input type="checkbox"/> Payout <input type="checkbox"/> Dividend Transfer <small>Please Specify Scheme / Plan</small>	Amt. : <input type="text"/> Cheque/DD No. : <input type="text"/> Dated : <input type="text"/> Bank & Branch : <input type="text"/>

Collection Centres Stamp & Receipt, Date and Time

Cheque/DD is subject to realisation

**8 INVESTMENT DETAILS AND PAYMENT DETAILS (Payment through Cash/Non-MICR Cheques/Outstation Cheques not accepted)**

Please issue separate cheque/demand draft in favour of respective scheme name. Kindly fill up the scheme name(s), plan/option and sub-option. Please refer to General Instructions No. 6# on Investment Details for complete information.

Scheme Name / Plan / Option / Sub-Option	Amount Invested	Net Amount	Payment Details
Scheme Name		In Figures (Rs.)	Cheque / DD No _____ Dated _____ Bank and Branch _____
Plan -	DD Charges#		*A/c Type - (Please tick) Savings <input type="checkbox"/> NRE* <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> FCNR* <input type="checkbox"/>
Option -			*Kindly provide photocopy of the payment Instrument of Foreign Inward remittance Certificate (FIRC) Evidencing source of funds.
Sub Option -			
Scheme Name		In Figures (Rs.)	Cheque / DD No _____ Dated _____ Bank and Branch _____
Plan -	DD Charges#		*A/c Type - (Please tick) Savings <input type="checkbox"/> NRE* <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> FCNR* <input type="checkbox"/>
Option -			*Kindly provide photocopy of the payment Instrument of Foreign Inward remittance Certificate (FIRC) Evidencing source of funds.
Sub Option -			

**9. NOMINATION DETAILS (OPTIONAL) [Minor / HUF / POA Holder / Non Individuals cannot Nominate - Refer Instruction No. 9]**

I / We \_\_\_\_\_ do hereby nominate the undermentioned Nominee(s) to receive the units to my / our credit in this folio no. in the event of my / our death. I / We also understand that all payments and settlements made to such Nominee(s) and Signature of the Nominee(s) acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustees.

No.	Nominee(s) Name	Date of Birth (in case of Minor)	Name of the Guardian (in case of Minor)	Relationship with Unit Holder	% of Share
1		D D - M M - Y Y Y Y			
2		D D - M M - Y Y Y Y			
3		D D - M M - Y Y Y Y			
Signature of 1st Nominee / Guardian		Signature of 2nd Nominee / Guardian		Signature of 3rd Nominee / Guardian	

\*If the percentage of share is not mentioned then the claim will be settled equally amongst all the indicated nominee(s)

**10. DOCUMENTS ENCLOSED (Please ✓)**

- Memorandum & Articles of Association  Resolution / Authorisation to Invest  
 Trust Deed  Bye-Laws  Partnership Deed  
 List of Authorised Signatories with Specimen Signature(s)  Power of Attorney  
 PAN Proof  KYC Compliance Status Proof  
 Foreign Inward Remittance Certificate (FIRC)  Cancelled Cheque Copy

**11. APPLICATIONS ENCLOSED (Please ✓)**

- Systematic Investment Plan  STP Enrolment Form  
 Cheques  SWP Enrolment Form  
 SIP Auto Debit Facility

**12. DECLARATION AND SIGNATURES / THUMB IMPRESSION OF APPLICANT(S) [Refer Instructions 2(f)]**

To The Trustees,

**Mirae Asset Mutual Fund**

Having read and understood the contents of the Offer Document/SID/SAI of the Scheme(s) applied for hereby apply for units of the scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. I/We hereby declare that the amount invested in the scheme (s) is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the Provisions of the Income Tax Act, Anti Money Laundering Laws or any other applicable laws enacted by the Government of India from time to time. I/We have understood the details of the scheme (s) and I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/We confirm that the funds invested in the Scheme, legally belong to me/us. I/We confirm that I/We have read and understood the 'Know Your Customer' (KYC) norms as mentioned under the General Instructions in point 2(e) of this Key Information Memorandum.

**Applicable for NRIs only:-** I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External / Ordinary Account / FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/FCNR Account.

**Applicable to Foreign Resident's Residing in India:-** I/We confirm that I/We satisfy the Residency test as prescribed under FEMA provisions. I/We further declare that I/We am/are "Person Resident in India" and are allowed to invest into the Scheme as per the said FEMA regulations.

The Companies investing in this fund declares that they have complied with all the laws, rules, regulations, guidelines, etc. as applicable to them.

I/We confirm that the details provided by me/us are true and correct.

Signature of 1st Applicant / Guardian / Authorised Signatory / PoA	Signature of 2nd Applicant / Guardian / Authorised Signatory / PoA	Signature of 3rd Applicant / Guardian / Authorised Signatory / PoA
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**INVESTOR SERVICE CENTRES OF MIRAE ASSET MUTUAL FUND.**

MUTUAL FUND BRANCHES: • **Ahmedabad** - 1st Floor, Abhijeet I, Mithakhali Circle, Near HDFC Bank, Ahmedabad - 380006. Tel-(079) 44227777 • **Bangalore** - Unit Nos. 1101 and 1102, Tenth Floor (Eleventh Level), Nos. 71 and 137, "Prestige Meridian II", No.30, Mahatma Gandhi Road, Bangalore 560 001. Tel-(080) 44227777 • **Baroda** - Office No.209 of Second Floor, Siddharth Complex, R C Dutt Road, Baroda-390 005. • **Bhubaneswar** - Room No.206 of Second Floor, Janpath Tower, Master Canteen Square, Ashok Nagar, Bhubaneswar-751009. • **Chandigarh** - Maegabyte Business and Communication Centre, SCO 333-34, Sector 35-B, Chandigarh. • **Chennai** - Flat - 2A, Second Floor, Pioneer Sudarsan Plaza, New No. 10/ Old No. 9, Mohan Kumaramangalam Street, Nungambakkam, Chennai - 600 034. Tel-(044) 44227777 • **Coimbatore** - Aarpee Centre, Ground Floor Shop No 33 and 34, No. 320 NSR Road, Opp. To IOB, Saibaba Colony, Coimbatore - 641011. • **Goa** - CL 12 & CL 13, Advani Business Centre, Neelkamal Arcade, Atmaram, Borkar Road, Panaji, Goa. 403 001 • **Guwahati** - Office E 3 / 4, Room/Cabin No.2, Cubical No.1, 3rd Floor, Sanmati Plaza, G.S.Road, Dispur, Guwahati-781005. • **Hyderabad** - Unit No. 104/A, Mahavir Chambers MCH No.3-6-363 and 3 -6-1/1, Basheerbagh, Himayathnagar Road, Hyderabad 500029. Tel-(040) 44227777 • **Indore** - 303, 3rd Floor, Starlit Tower, Y N Road, Indore - 452 001, Madhya Pradesh • **Jaipur** - 445 Ganpati Plaza, M I Road, Jaipur 302001. • **Jamshedpur** - Flat no 106, Madhusudan Radhakrishnan Complex, Behind Balinar, Sonari, Jamshedpur 831011. • **Jodhpur** - 2nd Floor, Dhanlaxmi Tower, 1st Chopasni Road, Jodhpur, 342003, Rajasthan. • **Kanpur** - 1st floor, Shop No.111, KAN Chambers, 14/113, Civil Lines, Kanpur -208001, Uttar Pradesh • **Kochi** - C.C. Nos : 40/9243 to 40/9268, Mayur Business Centre, Pulleppady Jn, Chittoor Road, Ernakulam, (Kochi) Kerala - 682035. • **Kolkata** - A-15, 2nd floor, FMC FORTUNA, No. 234/3A, A.JC Bose Road, Kolkata - 700020. Tel-(033) 44227777 • **Lucknow** - 7A & 7B, Ground Floor, Saran Chambers-1, 5 Park Road, Lucknow-226001, Uttar Pradesh • **Ludhiana** - #202, 2nd Floor, SCO-18, Feroze Gandhi Market, Ludhiana-141001 • **Mumbai** - Ground Floor, Raheja Chambers, Plot 213, Nariman Point, Mumbai - 400 021. Tel - (022) 44227777. • **Mumbai** - Unit No. 606, Windsor Building, Off. C.S.T. Road, Kalina, Santacruz (East), Mumbai -400 098. Tel-(022) 67800300 • **New Delhi** - Flat No. 812, Kailash Building, 26, Kasturba Gandhi Marg, New Delhi - 110001. Tel-(011) 44227777 • **Pune** - 85, 4th Floor, Sreenath Plaza, Dnyaneshwar Paduka Chowk, F C Road, Shivaji Nagar, Pune-411005. Tel-(020) 44227777 • **Surat** - U/17, Jolly Plaza, Athawagate, Surat - 395 001.