

Common Application Form for Equity, Monthly Income and Balanced Schemes

(For Lumpsum / Systematic Investments)



Expertise that's trusted

Sr. No.:

Please refer the instructions while filling the Application Form. Tick (✓) whichever is applicable. (Strike out which is not required)

1. DISTRIBUTOR INFORMATION (Only empanelled Distributors / Brokers will be permitted to distribute Units of Tata Mutual Fund)

Distributor / Broker ARN	2373	Sub-Broker Code	
Existing Folio Number:		<input type="checkbox"/> SIP Form Attached	

PAN AND KYC COMPLIANT STATUS DETAILS (MANDATORY)

	PAN # (Refer Instruction - E)	KYC Compliant Status** (If yes attach proof) KYC Mandatory for investment of Rs. 50,000 and above. (Refer Instruction - F)	
First Applicant / Guardian*		<input type="checkbox"/> Yes	<input type="checkbox"/> No
Second Applicant		<input type="checkbox"/> Yes	<input type="checkbox"/> No
Third Applicant		<input type="checkbox"/> Yes	<input type="checkbox"/> No

*If the First Applicant is a Minor, then please state the details of Parent / Guardian. # Please attach PAN proof. **Refer Instruction - E

2. SOLE / FIRST APPLICANT'S PERSONAL DETAILS (Please fill in Block Letters use one box for one alphabet leaving one box blank between two words, as it appears in your Bank Account)

Name Mr Ms M/s

Date of Birth

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

 Status: (✓) RI NRI

Name of the Contact Person in case of Non-Individual

Guardian Name (if sole / First applicant is a Minor)

Name Mr Ms M/s

Date of Birth

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

 Status: (✓) RI NRI

Address [P. O. Box Address is not sufficient] (Indian address in case of NRI's / FII's)

City	State	Country	Pin code Mandatory
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Contact Details

Phone O R Extn. Fax Mobile

e-mail

I/We wish to receive the following via e-mail in lieu of physical document(s) (Please ✓) Account Statement Annual Report Other Communication

Overseas Address (Mandatory in case of NRI / FII applicant in addition to mailing address)

State	Country	City	Zip code
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I/We confirm that I am/we are non-residents of Indian natality/origin & that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/FCNR Account.

Occupation (please ✓) Agriculture Business Service Professional Housewife Retired Student Other

Are you applying as (please ✓)

<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Trust	<input type="checkbox"/> BOI	<input type="checkbox"/> Pvt. Ltd. Co.	<input type="checkbox"/> Societies	<input type="checkbox"/> Body Corporate
<input type="checkbox"/> NRI/NRO	<input type="checkbox"/> Proprietorship	<input type="checkbox"/> AOP	<input type="checkbox"/> FII	<input type="checkbox"/> HUF	<input type="checkbox"/> FOF
<input type="checkbox"/> NRI/NRE	<input type="checkbox"/> Partnership	<input type="checkbox"/> Public Ltd. Co.	<input type="checkbox"/> On behalf of Minor	<input type="checkbox"/> PIO	Others _____

3. JOINT APPLICANT'S DETAILS

Second Applicant

Name Mr Ms M/s

Date of Birth

D	D	M	M	Y	Y	Y	Y
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 Status: (✓) RI NRI

Third Applicant

Name Mr Ms M/s

Date of Birth

D	D	M	M	Y	Y	Y	Y
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 Status: (✓) RI NRI

Mode of Holding (please ✓) Single OR Joint OR Anyone or Survivor **Default Option: Joint**

Name of Power of Attorney holder (POA) if investment is being made by a Constituted Attorney:

Name Mr Ms M/s

PAN* * Refer Instruction - E. Kindly enclose copy of the proof of PAN. In case of a joint holding, PAN of all the joint holders should be mentioned in the application form.

ACKNOWLEDGEMENT SLIP (To be filled by the investor)

Sr. No.:

Received from Mr. / Ms. / M/s.

an application for Units of

Plan Option Sub-option

alongwith Cheque / DD No. Dated Drawn on (Bank)

Amount (Rs.)

Signature, Stamp & Date

4. FIRST HOLDERS BANK ACCOUNT DETAILS (Mandatory) Refer Instruction G

All communication/payments will be made to first applicant or to Karta in case of HUF. Bank account details of First Unitholder required without which the application would be rejected

Name of the Bank _____

Branch _____ Account Type Savings Current NRO NRNR NRE

Account No. (in Fig.) _____

Account No.(in words) _____

Bank Address _____

City _____ State _____

PIN _____ MICR Code _____ (To be filled in only if dividend is to be paid through ECS).

* IFSC Code for NEFT _____ * This is a 11 Digit Number, kindly obtain it from your Bank Branch. (Please attach copy of cancelled cheque)

Example for filling the Account No. Ac. No. 9 7 4 6 1 5 2 In words Nine Seven Four Six One Five Two

5. DIRECT CREDIT FACILITY FOR REDEMPTION / DIVIDEND

Tata Mutual Fund directly credits the Dividends/Redemption into the investor Bank Account in case the account is with ICICI Bank Ltd./HDFC Bank Ltd./Axis Bank /IDBI Bank/ Standard Chartered Bank/Kotak Bank/HSBC Bank/Deutsche Bank/Centurion Bank of Punjab/ABN Amro Bank. I/We understand that the instruction to the bank for Direct Credit will be given by the Mutual Fund & such instruction will be adequate discharge of Mutual Fund towards redemption/dividend proceeds. In case of bank not crediting my/our bank account/ ECS with/without assigning any reason thereof or if the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Tata Mutual Fund responsible. I/We understand that in case account number furnished by me/us, if found incorrect, I/We would not hold Tata Mutual Fund responsible for the credit going to the wrong account. Further, the Mutual Fund reserves the right to issue a demand draft/payable at par cheque in case it is not possible to make payment by DC. **Please attach copy of Cheque / Cancelled Cheque.**

Please (✓) the foll: Receive Dividend/Redemption by Cheque Receive Dividend/Redemption by Direct Credit Receive Dividend through ECS (MICR code compulsory for ECS)

6. SCHEME DETAILS Refer Page - 4 for correct scheme name

Please choose the scheme and option for Investment. Plan _____

Scheme Name _____ Dividend _____

Options _____ Frequency _____

[A] INVESTMENT DETAILS (Strike off whichever is not applicable)

Gross Amount (A) Rs. _____ DD Charges (if any) (B) Rs. _____ Net Amount (Cheque / DD Amount) Rs. _____ A minus B

Mode of Payment _____ Dated DD MM YYYY

A/c No. _____ A/c Type _____ Cheque / DD No. _____

Drawn on Bank _____ Branch _____ Branch City _____

[B] SYSTEMATIC INVESTMENT

Installment Amount (Rs.) _____ No. of Installments _____ Total Amount _____ SIP Period _____

Rs. _____ x Rs. _____ = Rs. _____ From MM/YYYY To MM/YYYY

First SIP Installment Cheque Details :

Cheque No. _____ Rs. _____ Dated DD MM YYYY

SIP Date (Please ✓) 1st OR 07th OR 10th OR 20th SIP Frequency (Please ✓) Monthly OR Quarterly

From Cheque No. _____ To Cheque No. _____ No. of Cheques _____

Drawn on Bank _____ City _____

SIP THROUGH AUTO-DEBIT (ECS) Please fill up enclosed SIP Auto Debit (ECS) Facility Form and submit it together with this Application Form. OR SIP THROUGH POST-DATED CHEQUES Please fill attached SIP form for Post Dated Cheques (PDCs)

7. NOMINATION DETAILS (To be filled in by Individual(s) applying singly or jointly) Refer Instruction - J

Nominee Name _____ Relationship _____ Date of Birth DD MM YYYY (To be furnished in case nominee is minor)

Guardian details (if nominee is a minor) Name & Address _____

Specimen Signature of Nominee / Minor Nominee's Guardian _____

8. DECLARATION AND SIGNATURES. Refer Instruction - C

The Trustee, Tata Mutual Fund

a) Having read & understood the contents of the Offer Document of the Scheme, I/ We hereby apply for units of the scheme & agree to abide by the terms, conditions, rules & regulations governing the scheme. I/ We hereby declare that the amount invested in the scheme is through legitimate sources only & does not involve & is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I/ We have understood the details of the scheme & I/ We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/ We confirm that the funds invested in the Scheme, legally belong to me / us. In the event "Know Your Customer" process is not completed by me / us to the satisfaction of the AMC, I/ We hereby authorise the AMC, to redeem the funds invested in the Scheme, in favour of the applicant at the applicable NAV prevailing on the date of such redemption & undertaking such other action with such funds that may be required by the Law. b) For NRIs: I/ We confirm that I am / we are Non Residents of Indian Nationality / Origin and that I/ we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary. I/ We confirm that details provided by me / us are true and correct. c) I/We have read and understood the SEBI Circular No. MRD/DoP/Cir- 05/2007 dt. April 27, 2007 and SEBI Circular No. 35/MEM-COR/18/07-08 dt. June 26, 2007 regarding mandatory requirement of PAN. I/We confirm that I/we are holding valid PAN card.

1st Unitholder Signature / Thumb Impression _____

2nd Unitholder Signature / Thumb Impression _____

3rd Unitholder Signature / Thumb Impression _____

Date: _____

TATA MUTUAL FUND

Call Free: 1800-209-0101

Agra: (0562) 2525195, Ahmedabad: (079) 65418989 / 65447799, Allahabad: 9839600696, Bangalore: (080) 66561313, 65335986/87, Bhilai: (0788) 2295625, Bhopal: 9826826646, Bhubaneshwar: (0674) 2533818 / 6450817, Calicut: (0495) 6450508, Chandigarh: (0172) 5087322 / 2603771, Chennai: (044) 65510243 / 24320032 / 33, Cochin: (0484) 2377580, 6533107, Coimbatore: (0422) 6502133/ 44, Dehradun: (0135) 6450877, Delhi: (011) 66324111/102, 66303202, Dhanbad: (0326) 2300304, Durgapur: (0343) 6454797, Goa: (0832) 6451135 / 36, Guwahati: (0361) 2343084, Hubli: (0836) 6450342, Hyderabad: (040) 65361237 / 38, 65548290, Indore: (0731) 4201806, Jabalpur: (0761) 4074263, Jaipur: (0141) 5105177 / 78, Jamshedpur: (0657) 2756021/22/23, Jodhpur: (0291) 6450555, 2631257, Jamnagar: 9898366767, Kanpur: (0512) 2306066, Kolkata: (033) 22881534 / 3413 / 15, Kottayam: 9447559230, Lucknow: (0522) 6452432 / 4001731, Ludhiana: 5089667/6503366, Madurai: (0452) 6454330, Mangalore: (0824) 6450308, Moradabad: (0591) 6535002 / 2313567, Mumbai: (022) 66505252, Mysore: (0821) 6450470, Nagpur: (0712) 6502885, Nashik: (0253) 6510315, 6605138, Patna: (0612) - 6450120 / 2206497, Pune: (020) 64005932/ 30288775/ 6, Raipur: (0771) 6537340 / 2543354, Rajkot: (0281) 6624848, Ranchi: (0651) 2330704, Salem: (0427) 6451653, Surat: (0261) 6554418 / 19, Trichur: (0487) 6451286, Trichy: (0431) 6455060, Trivandrum: (0471) 6535431, 2319139, Udaipur: (0294) 2429371 / 6450979, Vadodara: (0265) 6641888, 2356114, Varanasi: (0542) 6544655, Vijaywada: (0866) 6532621, Visakhapatnam: (0891) 6451883,